

# Feature Instability as a Criterion for Selecting Potential Style Markers

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## Abstract

We introduce a new measure on linguistic features, called *stability*, which captures the extent to which a language element, such as a word or a syntactic construct, is replaceable by a semantically equivalent element. This measure may be perceived as quantifying the degree of available “synonymy” for a language item. We show that frequent but unstable features are especially useful as discriminators of an author’s writing style.

## 1. Introduction

Often we wish to find linguistic markers that distinguish the writing style of a particular author or class of authors. We seek features that are typically used variably by different authors but are used consistently by any given author. At least, by the author whose writing we wish to distinguish. Obviously, these markers will differ from author to author.

In this paper, we wish to identify the pool of potentially useful linguistic features from which markers might fruitfully be chosen. To be precise, we do not seek features that distinguish a particular author but rather author-independent features for ranking features which are worth considering when seeking distinguishing characteristics of *any* given author.

Consider some examples. If a particular author were found to use the word *awful* more frequently than other authors, this would certainly be worth noting. After all, the word *bad* is generally a reasonable, and more common, alternative to *awful*. The fact that our author chooses to use the word *awful* frequently therefore likely reflects a deliberate stylistic choice that we might profitably exploit for identifying the author’s writing. What about the word *touchdown*? The frequency of use of *touchdown* is a rather poor feature for identifying an author’s style. There are actually two reasons that this is so. First, there is no alternative word for expressing the concept *touchdown* so that its use does not reflect stylistic choice. Second, *touchdown* is tightly tied to a particular topic so that its frequency of use in one context is unlikely to reflect the frequency with which it will be used in other documents, which might concern other topics. In contrast, *awful* is commonly used across most topics and has plausible alternatives. Some words satisfy one of the criteria but not both. For example, *perspire* offers a plausible alternative (*sweat*) but is not frequently used across a wide range of topics. On the other hand, words like *blue* and *ten* are used across topics but don’t have common alternatives.

To summarize, we seek linguistic features the use of which might reflect deliberate stylistic choice by a given author. Such features will tend to be used across topics and will offer plausible linguistic alternatives. The first criterion is relatively easy to approximate by checking overall frequency of use across different topic areas. We will focus on the formal definition of the second criteria: how can we determine the extent to which a particular linguistic feature offers plausible alternatives? Although the examples we gave are all words, the criteria we define will extend beyond words to other types of linguistic features.

## 2. Linguistic Feature Stability

Data-driven approaches to text and language processing apply various quantitative measures to linguistic elements such as words and terms. These measures capture important properties of language items and are often utilized in various ways for different computational tasks, such as information retrieval, text classification, terminology extraction, and statistical thesaurus construction.

In this paper, we introduce a new type of measure for linguistic elements that we call *meaning-preserving stability* for short. It captures the degree to which a linguistic element or construct can be substituted for in a piece without affecting the text meaning. This measure is applied most intuitively to words and terms, but is applicable to other types of linguistic constructs, such as part-of-speech sequences. Stability captures interesting properties in language and thus seems interesting from a purely scientific point of view, but it also has potential use within applied linguistics. Stability can be perceived as quantifying the typical degree of available “synonymy” for a language element by generalizing the notion of synonymy to any type of linguistic feature rather than being restricted to its common words only.

To make this a bit more concrete, let us begin with a simple example. Consider the three sentences:

1. *John was lying on the couch next to the window.*
2. *John was reclining on the sofa by the window.*
3. *John had been lying on the couch near the window.*

The three sentences convey (approximately) the same message. Some of the words remain invariant in all three versions (*John, window*), while others are replaced by other words (*was : had been, couch : sofa, next to : by : near, reclining*) which don't significantly change the meaning of the sentence. More generally, consider features of a text such as word or phrase frequencies, frequencies of syntactic structures or any other feature whose value can be measured on a given text. Roughly speaking, the *stability* of such a feature is the extent to which the measured value of that feature tends to remain invariant across different texts that convey the same meaning. For example, proper nouns are very stable while words with many synonyms are unstable.

Obtaining training material for measuring stability is a difficult challenge, requiring alternative versions of text to carry – in the ideal case, exactly – the same meaning. “Parallel” (monolingual) texts of this nature have been used for automatic paraphrase extraction, using either multiple translations of the same text or news stories from different sources that describe the same event [Barzilay and McKeown 2001; Shinyama et al. 2002]. The disadvantage of these training materials is that they rely on the fact that different people have manually created different versions of the text. Such manually created versions are not easily available for most texts. In order to lighten the superfluous requirements, we have used a machine translation (MT) system to translate our training corpus back-and-forth between multiple languages, thus obtaining several English versions of the same text. Indeed, the use of automatic translation to generate training data is problematic for several reasons, as discussed below. Yet, the combination of MT with the stability measure, such as style-based text categorization, provides advantages that reduce the overall dependency on particular training materials.

In the remainder of the paper, we first present the general notion of stability and define a concrete stability measure. Then we present empirical measurements of stability and illustrate the properties that it captures. Finally, we demonstrate how stability can be utilized effectively for feature selection in style-based text classification.

### 3. A Stability Measure

A *stability measure* would be a quantitative measure that correlates with a feature's tendency to be preserved across different meaning-preserving variants of a text. We formally define a specific stability measure and consider empirical results of stability experiments on the Reuters 21578 corpus [Lewis, 1997] and on the British National Corpus (BNC).

Let  $\{d_1, d_2, \dots, d_n\}$  be a set of documents (or text segments) and let  $\{d_i^1, d_i^2, \dots, d_i^m\}$  be  $m > 1$  different versions of document  $d_i$  whose meanings are all roughly identical.<sup>1</sup> For any measurable feature  $c$ , let  $c_i^j$  be the value of feature  $c$  in document  $d_i^j$ . Let us develop the final formula in two steps.

**Step 1.** Define the stability of a feature in multiple versions of a single document.

Let  $k_i = \sum_j c_i^j$ . Then the *stability* of a feature  $c$  in document  $d_i$  is defined to simply be the usual (normalized) entropy measure:

$$H(c_i) = -\sum_j [(c_i^j / k_i) \log (c_i^j / k_i)] / \log m$$

<sup>1</sup> Since stability is an empirical measure based on statistical data, its definition does not depend on the exact notion of “meaning preservation” that holds across the different text versions. Applying the stability measure would make sense whenever the different text versions represent alternative interchangeable linguistic choices.

If  $c_i^j$  is a frequency measure, we can think of  $c_i^j / k_i$  as the probability that a random appearance of  $c$  in  $d_i$  is in  $v_j$ . Then  $H(c_i)$  is just the usual entropy measure, normalized by  $\log m$  to keep the range of stability values to  $[0,1]$ . For example, if a feature assumed the identical value in every version of a document, its stability would be 1. If it assumed a positive value in a single version of the document but 0 in all others, its stability would be 0.

**Step 2.** Extend the definition to multiple documents  $\{d_1, d_2, \dots, d_n\}$ .

The impact that a given document has on the overall measure is defined to be proportional to the average value feature in the various versions of that document. (Thus, for example, when  $c$  is a frequency measure of some attribute in documents in which the attribute is more frequent will contribute proportionately more to the overall stability feature in the corpus.)

Let  $K = \sum_i k_i$ . Then

$$S(c) = \sum_i [(k_i/K) * H(c_i)]$$

This formula can be transformed to the equivalent formula:

$$S(c) = \{\sum_i [k_i \log k_i - \sum_j c_i^j \log c_i^j]\} / K * \log m \quad (1)$$

#### 4. Measuring Feature Stability Empirically Using Machine Translation

In order to empirically measure stability, one needs several text variants that convey the same meaning, or at least substantial overlap. For example, we might consider translations of the same text by different translators, and to a lesser extent, reports on the same event by different reporters or journalists. All these are relatively hard to obtain for experimental purposes. One interesting way to generate text variants artificially is to use a machine translation system to translate the text to another language and then translate it back to the original language. For our experiment we used SystranPro to translate each document in the Reuters 21578 corpus into each of five different languages (French, German, Spanish, Italian, Portuguese) and back into English. In order to check that the measure is not dependent on the base corpus, we did the same to a set of several hundred book length documents from the National Corpus. We applied formula (1) to frequencies of words, parts-of-speech n-grams and other linguistic features.

An obvious weakness of this experiment is that it is subject to the idiosyncrasies of Systran and, to a lesser extent, the particular corpus. We have attempted to mitigate this affect by using five translation packages. This is only a partial solution since it is likely that all of them share certain underlying methods and programming code. Yet, experiments below indicate, this setting does provide an interesting “grasp” of feature stability, probably because the knowledge encoded in the five translation systems does capture much of the inherent phenomena that determine linguistic stability.

We will consider the stability distributions of various classes of features. We will also consider some specific features and discuss why their respective stabilities are particularly high or low.

#### 5. Stability Distributions and Examples

In Figure 1, we show a histogram of stabilities of all single words in the Reuters corpus. As is evident the number of words in a given stability range descends as the mean of the range descends. When we look at specific word classes a clearer picture emerges. As might be expected, certain features, such as proper names, are highly stable. All proper names have stability close to 1. Similarly, numbers have very high stability. Words with common synonyms such as *aid* (.37) and *help* (.82) are less stable, with the more common synonym more stable than the less common one. In extreme cases such as *huge* and *ratio*, stability is reduced to 0; the translation system always replaces them by more common synonyms such as *large* and *proportion*, respectively.

In Figure 2(a-c), we show histograms of stability of nouns, verbs and function words, respectively. While nouns follow the general pattern with a plurality in the highest stability range, verbs and function words distribute more normally. This is because verbs are on average much more ambiguous than nouns. Similarly, most

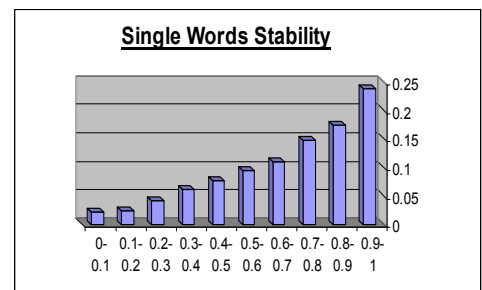


Figure 1. Stability histogram of all words. The x-axis denotes stability value ranges and the y-axis denotes the proportion of features receiving the corresponding stability values.

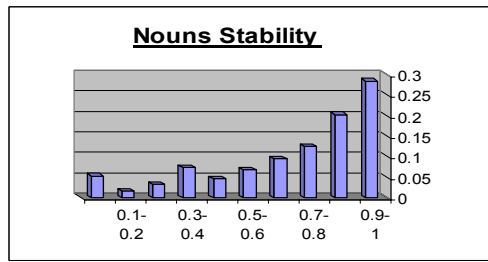


Figure 2(a). Stability histogram for nouns

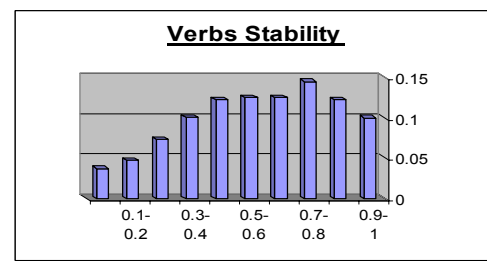


Figure 2(b). Stability histogram for verbs

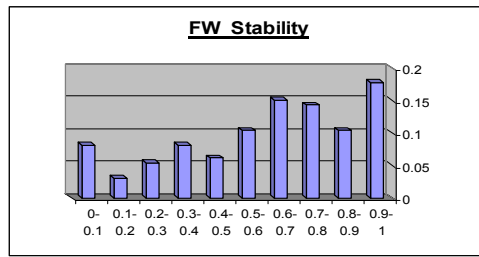


Figure 2(c): Stability histogram for function words

function words are also highly ambiguous and are often replaceable with equivalent syntactic constructions.

| FW   | Reuters | BNC  | FW    | Reuters | I |
|------|---------|------|-------|---------|---|
| and  | 0.99    | 0.99 | from  | 0.77    | ( |
| the  | 0.97    | 0.99 | by    | 0.76    | ( |
| not  | 0.95    | 0.97 | been  | 0.66    | ( |
| is   | 0.94    | 0.95 | which | 0.56    | ( |
| of   | 0.94    | 0.95 | has   | 0.52    | ( |
| it's | 0.87    | 0.88 | over  | 0.47    | ( |

Table 1. Examples of function words ranked by their respective stabilities using Reuters and BNC, respectively.

In Table 1, we consider a selection of function words and their respective stabilities, using Reuters and respectively. A number of these examples are very instructive. Words like *and* and *the* don't offer more alternatives and are thus stable. However, words like *has* and *been* are unstable because, for example, the present *has been* is easily replaced by the past tense *was*. Similarly, a word like *over* is easily replaced either by synonym (*above*) or alternative constructions (e.g. *go over there* : *go there* : *go to there*). Note that with a few exceptions stabilities yielded by Reuters and by BNC are quite close to each other.

Let's now consider features other than words. In Table 2, we consider a selection of trigrams of parts-of-speech their respective stabilities. Note, for example, that the trigram *noun noun noun* is very unstable. A typical occur "U.S. construction spending", a tightly-wound phrase invariably unwound into something looser like "spend construction by the U.S."

Altogether, it can be seen that the stability measure captures in a unified way different types of semantic "units" that are related to both syntactic and lexical phenomena, including content words, function words and part-of-sequences.

## 6. Style-Based Text Categorization

Our main hypothesis is that frequent but unstable features are most useful for identifying an author's style. To assess we will use frequency and stability as criteria for feature selection in text categorization experiments. First we give necessary background to the text categorization and feature selection literature.

Style-based text categorization tasks, such as authorship attribution [Mosteller and Wallace, 1964; Holmes, 1995] a sense orthogonal to the more common problem of categorization by topic [Lewis and Ringuette, 1994; Schutz 1995; Sebastiani, 2002]]. For style-based categorization, we seek features that are roughly invariant with

documents of a given author (or, more broadly, style class) but variant from author to author. Typically, a promising set of discriminating features is chosen and then training examples from each category and some machine-learning algorithm are employed to produce a model for categorizing.

Since the number of potential discriminating features is often uncomfortably large, feature selection methods are sometimes used to select out a particularly promising set of features. A number of these methods, conveniently summarized in [Sebastiani, 2002], have proved to be reasonably successful for topical categorization. Typically, the features selected by these methods are those that, individually, discriminate well on the training corpus. While such methods do tend to eliminate useless features, they sometimes do harm by pre-empting the learning algorithms they are meant to serve: the learning algorithms themselves, by taking into account dependencies among features, eliminate useless features in more subtle ways than these direct feature selection methods. Furthermore, in the case of style-based categorization, these methods can lead to over-fitting by focusing attention on features that are highly correlated with one of the authors for reasons that might be specific for the topics discussed in the training corpus but unrelated to the author's generic style.

A different approach to feature selection for style-based categorization is that used by Mosteller and Wallace [1964] in their seminal work on authorship discrimination. Mosteller and Wallace [1964] simply chose a set of features that are not dependent on the training corpus but rather have certain appropriate universal properties. In their case, the features chosen were function words, which were deemed topic-independent. This is a perfectly sensible approach but it is somewhat limiting: it does not offer the flexibility of ranking features so that more or fewer can be chosen and it limits consideration in advance to a specific set of lexical features.

The main hypothesis of this paper is that those linguistic features that are both unstable and frequent are those most useful for style-based text categorization. Indeed, many function words are prime examples of frequent but unstable features; there are many other such features. The hypothesis makes intuitive sense: stable features are ones which offer viable meaning-preserving alternatives so that differences in usage of stable features between authors more reflect irrelevant differences in content than differences in style; differences in usage of frequent unstable features likely to reflect different stylistic choices. Since instability can be ranked, we can choose more or fewer features needed, possibly using cross-validation to optimize. Moreover, as we have seen, unlike function words, the stability criterion can be applied to any type of linguistic feature, whether lexical, syntactic, complexity-based, etc.

A ranked list of the fifty most highly-ranked words according to the product of instability and log-frequency (using Reuters and BNC corpora) is shown in the Appendix. Note that due to the specialized nature of the Reuters corpus financial words, which are not particularly relevant to other contexts, are highly ranked. Overall, of the 400 top words in each ranked list (BNC and Reuters), 43% are common to both. We will see below that even when stability and frequency are measured using an "inappropriate" corpus, they yield superior results in the selection of potential style markers.

## 7. Experiments

In our first experiment, we will attempt to learn to distinguish the writing style of Anne Bronte from that of her sister Charlotte Bronte. This is a particularly difficult attribution problem because the authors came from identical social and linguistic backgrounds and wrote in what appear to be very similar styles. We consider two books by Anne Bronte (*Agnes Gray*, *The Tenant of Wildfell Hall*) and two by Charlotte Bronte (*The Professor*, *Jane Eyre*). Each book is split into between 100 and 150 equal-sized passages. We train on passages of one book by each author and test on passages from the remaining two books. We then run the experiment again with the training and test sets reversed and average the results. In the second experiment, we use a corpus of 260 fiction documents from the BNC, evenly split among male and female authors. We will attempt to learn the gender of a document's author [Koppel *et al.* 2002]. We will evaluate the effectiveness of our learning methods using five-fold cross-validation.

| POS       | Reuters<br>Stability | BNC<br>Stability |
|-----------|----------------------|------------------|
| NN_IN_DT  | 0.94                 | 0.97             |
| IN_DT_NN  | 0.94                 | 0.98             |
| DT_NN_IN  | 0.93                 | 0.97             |
| NN_IN_NN  | 0.92                 | 0.89             |
| IN_NN_IN  | 0.86                 | 0.86             |
| NN_IN_NNP | 0.81                 | 0.89             |
| IN_CD_NN  | 0.76                 | 0.72             |
| CD_NN_IN  | 0.72                 | 0.58             |
| JJ_NN_NN  | 0.67                 | 0.80             |
| NN_NN_NN  | 0.43                 | 0.59             |

Table 2. Examples of noun-related part-of-speech triples ranked by their respective stabilities using Reuters and BNC, respectively.

We begin with a feature set consisting of all features and then eliminate more and more features according to criteria. For each reduced feature set we will use a learning algorithm to build a categorization model and then model on the chapters in the test set. We use Balanced Winnow [Littlestone, 1988; Dagan et al., 1997] as our method.

In Figure 3(a), we show results on the Bronte experiment using Balanced Winnow on an initial feature set consisting of all 3500+ words that appear at least 4 times in the Bronte corpus. Feature reduction is performed by ranking features according to various measures (listed below). Since only 1300 words appear both in this list and in the Reuters (which was used for measuring feature stability) the first data point we show for a reduced feature set is 1300. In Figure 3(b), we repeat the experiment using an initial feature set consisting of the full word list as well as all parts-of-speech triples that appear at least 5 times in the Bronte corpus. Each of the reduced sets consists of an equal number of words and parts-of-speech triples.

FIGURE 3 ABOUT HERE

As our benchmark for the effectiveness of feature selection, we use the *odds ratio* (OR) measure [Mladenic Ruiz and Srinivasan, 2002; Caropreso et al., 2002] which is a typical and particularly successful representative of discrimination-based feature reduction [Sebastiani 2002]. For a given feature  $c$ , let  $c_i$  be the frequency of  $c$  in category  $i$  and let  $c_j$  be the frequency of  $c$  in all other categories<sup>2</sup>. Then OR ranks features according to the score  $\sum_j c_j(1-c_j)/c_i$ . Other methods rearrange the same basic ingredients in different ways.

Altogether, five measures were used for ranking features:

$OR$  – odds ratio in training corpus

$F_t$  – average frequency in training corpus

$OR * F_t$  – odds ratio in training corpus \* average frequency in training corpus

$IN$  – instability ( $= 1 - S(c)$ )

$IN * F_r$  – instability \* average frequency in Reuters

In the case of  $IN * F_r$ , we combine instability with frequency in Reuters rather than with frequency in the training corpus itself, in order to highlight the fact that the measure can be entirely corpus independent. The curve for  $IN * F_r$  (not shown) is very similar to that of  $IN * F_t$ . Note also that, in order to emphasize the generality of the method, we measure instability and frequency using the Reuters corpus rather than the BNC, which is more similar to the training corpus.

In Figures 4(a) and (b), we show the analogous results for five-fold cross-validation experiments on the Bronte experiment.

FIGURE 4 ABOUT HERE

Note that, in both experiments, without taking feature frequency into account both  $OR$  and  $IN$  fail miserably: too rare features are ranked highly by each method. More importantly, these experiments show that, although  $IN$  is completely independent of the training corpus, it is actually the best measure by which to choose features. It is evident that (a) feature selection does lead to improvement over using the complete feature set (i.e. letting Winnow select features implicitly); (b) optimal performance is maintained with a quite small feature set. In fact, in both experiments the best 400 features selected according to this criterion are better than using a standard list of 400 function words: they achieve 81% accuracy on Bronte and 72% on the gender problem.

Note also that when the number of features approaches the bottom of our range, it is better to use the frequency in the training corpus than in Reuters. This is because many of the highly-ranked features based on  $IN$

<sup>2</sup> Technically, the frequency used in  $OR$  as defined by Caropreso et al (2002) is the proportion of documents in the category in which the feature appears at least once. Among common features, which appear in every document,  $OR$  as so defined offers no discrimination. Thus it is inappropriate for style-based categorization. Instead, we use the actual relative frequency of the feature in the category.

frequency do not appear sufficiently frequently in the training/testing corpora to yield optimal categorization suggests that a broader-based corpus than Reuters is advisable.

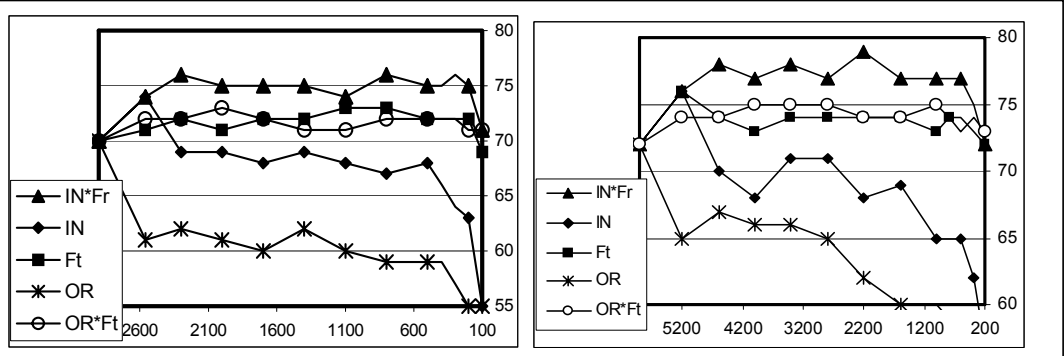
Overall, these results suggest that the set of features identified as useful by  $IN^*F_r$  may constitute, to a sub degree, a compact *universal* feature set for style-based categorization. This set might be thought of as a generalization of a universal feature set like a list of function words, with the added advantages that the features can be of any type (not only lexical) and that they can be ranked.

## 8. Conclusions and Future Work

We have shown how the extent to which a linguistic feature may be replaced with a semantically equivalent feature can be effectively quantified. The resulting stability measure is useful for identifying promising candidates for style-based text categorization. The specific technique that we used for estimating stability – back and forth translation – is admittedly, flawed due to its dependence on a particular software package but is, nevertheless, novel and effective. Results of our experiments indicate that we might regard frequent and unstable features as a generalization of word lists that can serve as a universal feature set for style-based text categorization. More experiments – on other corpora, on more feature types, on other learning algorithms and using other existing feature reduction methods – can be performed to strengthen and extend these conclusions.

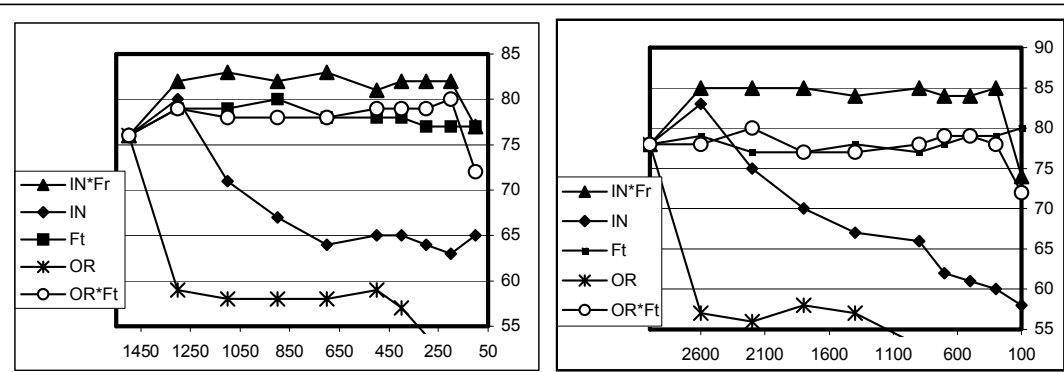
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**Figure 4(a).** Categorization accuracy of Balanced Winnow on the gender problem in BNC using five feature reduction methods to select single words. The x-axis represents the number of features used and the y-axis records accuracy.

**Figure 4(b).** Categorization accuracy of Balanced Winnow on the gender problem in BNC using five feature reduction methods to select single words and POS triples. The x-axis represents the number of features used and the



**Figure 3(a).** Categorization accuracy of Balanced Winnow on the Bronte corpus using five feature reduction methods to select single words. The x-axis represents the number of features used and the y-axis records accuracy.

**Figure 3(b).** Categorization accuracy of Balanced Winnow on the Bronte corpus using five feature reduction methods to select single words and POS triples. The x-axis represents the number of features used and the y-axis records accuracy.

## Appendix

The top 50 words in Reuters ranked by their respective Instability\*log(Freq) scores.

|                    |                         |                    |              |
|--------------------|-------------------------|--------------------|--------------|
| 1. indicated (.89) | 14. out (.45)           | 27. from (.38)     | 40. present  |
| 2. has (.78)       | 15. portion (.45)       | 28. ratio (.38)    | 41. as       |
| 3. known (.72)     | 16. share (.44)         | 29. by (.38)       | 42. stock    |
| 4. which (.66)     | 17. have (.42)          | 30. had (.37)      | 43. connecti |
| 5. them (.66)      | 18. his (.42)           | 31. been (.37)     | 44. action   |
| 6. dollar (.62)    | 19. benefit (.42)       | 32. commerce (.36) | 45. being    |
| 7. order (.60)     | 20. state (.41)         | 33. back (.36)     | 46. network  |
| 8. at (.53)        | 21. he (.40)            | 34. trade (.36)    | 47. request  |
| 9. series (.50)    | 22. says (.40)          | 35. debit (.35)    | 48. main     |
| 10. over (.49)     | 23. shares (.40)        | 36. told (.35)     | 49. they     |
| 11. supply (.49)   | 24. into (.38)          | 37. part (.34)     | 50. parts    |
| 12. about (.47)    | 25. above (.38)         | 38. near (.34)     |              |
| 13. up (.46)       | 26. approximately (.38) | 39. like (.34)     |              |

The top 50 words in the BNC ranked by their respective Instability\*log(Freq) scores.

|                 |                    |                         |           |
|-----------------|--------------------|-------------------------|-----------|
| 1. has (1.23)   | 14. straight (.40) | 27. towards (.33)       | 40. any   |
| 2. which (.70)  | 15. top (.37)      | 28. everything (.33)    | 41. again |
| 3. his (.59)    | 16. started (.37)  | 29. at (.32)            | 42. area  |
| 4. her (.55)    | 17. away (.37)     | 30. got (.32)           | 43. hour  |
| 5. into (.54)   | 18. up (.36)       | 31. itself (.32)        | 44. does  |
| 6. order (.54)  | 19. do (.35)       | 32. however (.32)       | 45. went  |
| 7. over (.50)   | 20. off (.35)      | 33. indicated (.32)     | 46. far   |
| 8. him (.48)    | 21. just (.34)     | 34. outside (.31)       | 47. being |
| 9. about (.47)  | 22. above (.34)    | 35. from (.31)          | 48. low   |
| 10. thus (.43)  | 23. by (.34)       | 36. approximately (.31) | 49. start |
| 11. their (.41) | 24. makes (.34)    | 37. make (.31)          | 50. part  |
| 12. she (.40)   | 25. large (.33)    | 38. return (.31)        |           |
| 13. out (.40)   | 26. back (.33)     | 39. set (.31)           |           |